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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : March 5, 2002

H.H. Chen, et al

: Group Art No.:

Serial No. 09/579,044

: Examiner: O. Nadav

Filed: May 26, 2000 :

for IBM Corporation Anne Vachon Dougherty

Yorktown Heights, NY 10598

Title: SEMICONDUCTOR HIGH

3173 Cedar Road

DIELECTRIC CONSTANT

DECOUPLING CAPACITOR

STRUCTURES AND PROCESS

FOR FABRICATION

PRELIMINARY AMENDMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

This Preliminary Amendment is being filed simulatneously with the filing of a Continuation in Part Application. Preliminary Amendment responds to the issues raised in the Final Office Action dated December 5, 2002.

Applicants wish to amend the Patent Application as follows: